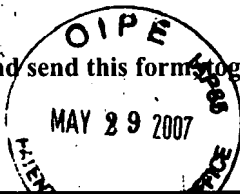


05-31-07  
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7590

02/27/2007

John S. Beulick  
Armstrong Teasdale LLP  
One Metropolitan Square, Suite 2600  
St. Louis, MO 63102

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05/31/2007 HGTUMAR2 00000009 012384 09475962

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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09/475,962

12/30/1999

FRANK JOSEPH PENNISI, JR

9D-EC-19319

7121

TITLE OF INVENTION: CAPACITY MONITORING PROCESS FOR A GOODS DELIVERY SYSTEM

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
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nonprovisional

NO

\$1400

\$0

\$0

\$1400

05/29/2007

EXAMINER	ART UNIT	CLASS-SUBCLASS
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VAN DOREN, BETH

3623

705-006000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☒ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 George L. Rideout, Esq.

2 Armstrong Teasdale LLP

3 \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

General Electric Company

Schenectady, New York

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

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5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature

Eric T. Krischke

Date May 29, 2007

Typed or printed name

Eric T. Krischke

Registration No. 42,769

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PATENT  
Attorney Docket No.: 9D-EC-19319

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Frank Joseph Pennisi, Jr. :  
: Art Unit: 3623  
Serial No.: 09/475,962 :  
: Examiner: Van Doren, Beth  
Filed: December 30, 1999 :  
:   
For: CAPACITY MONITORING PROCESS :  
FOR A GOODS DELIVERY SYSTEM :

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Express Mail Label Number: EV 918280606 US

Date of Mailing: May 29, 2007

I certify that documents listed below:

- Issue Fee Transmittal (1 page), duplicate
- Fee Address Indication Form (1 page)
- Comments on Statement of Reasons for Allowance (2 pages)
- Return receipt postcard

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*Eric T. Krischke*

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